

APPENDIX TO THE BOOKLET OF FEES & CHARGES

SUPPLEMENTAL INFORMATION FOR SINGAPORE BOOKED CLIENTS SERVICED THROUGH THE HONG KONG BRANCH OF CA INDOSUEZ (SWITZERLAND) SA

Treatment of benefits from price improvements

CA Indosuez (Switzerland) SA (the “Bank”) may execute a trade at a price better than that quoted to you during the order taking (“price improvement”).

1. If the Bank acts as principal in that trade:-
 - if the actual monetary benefits from that executed trade does NOT exceed the monetary benefits percentage ceiling disclosed to you for that trade (“Disclosed MB Ceiling”), the Bank will retain the actual monetary benefits in full (including those from the price improvement in full);
 - If that actual monetary benefits exceeds the Disclosed MB Ceiling (the difference is called the “Excess”), the Bank will pass on the Excess to you in full.
2. If the Bank acts as agent in that trade:-
 - the Bank will not retain any benefit from the price improvement.

Discount arrangement

- The Bank does not offer any discount to the fees and charges payable by you unless the Bank notifies you otherwise.
- The Bank may at its sole and absolute discretion offer to you a discount on such fee or charge. In doing so, the Bank will consider a number of factors, including without limitation to the nature of the banking or investment transaction and the fee and commission arrangement of the Bank with the related issuer or third party.
- The Bank giving you any discount to such fee or charge is not and should not be considered as the Bank’s waiver its rights to any fees or charges payable by you on any other banking or investment transactions.

Non-monetary benefit disclosure

- When you trade, subscribe or deal with investment products with the Bank, the Bank may receive non-monetary benefits (e.g. financial research data) from other party(ies).

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Incorporated in Switzerland with
members' limited liability
於瑞士成立的公司, 其成員的法
律責任是有限度的

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附件：服務收費小冊子

附加資訊僅適用於 CA INDOSUEZ (SWITZERLAND) SA 新加坡分行註冊，並由香港分行所管理的帳戶

處理有利的價格差異所帶來之收益

CA Indosuez (Switzerland) SA (簡稱「本行」) 可能會以優於受理訂單時向閣下提供的價格執行交易 (統稱「有利的價格差異」)。

1. 若本行在該交易中擔任主事人：
 - 若所執行交易的實際金錢收益不超過向閣下披露該交易金錢收益百分比上限 (簡稱「披露金錢收益上限」)，則本行將全數保留實際金錢收益 (包括所有由有利的價格差異所帶來的收益)；
 - 若實際金錢收益超出披露金錢收益上限 (兩者之差稱為「超額收益」)，則本行將向閣下發還所有超額收益。
2. 若本行在該交易中擔任代理人：
 - 本行不會保留有利的價格差異所帶來的任何收益。

折扣安排

- 除非另行通知，否則本行不會為閣下應付的費用及收費提供任何折扣。
- 本行可全權酌情就有關費用或收費向閣下提供折扣。為此，本行會考慮多種因素，包括但不限於銀行服務或投資交易的性質，以及本行與相關發行人或第三方的費用及佣金安排。
- 本行向閣下提供相關費用或收費的折扣，並非且不應被視為本行放棄就閣下任何其他銀行服務或投資交易收取應付費用或收費的權利。

非金錢收益披露

- 閣下在本行進行投資產品買賣、認購或交易時，本行可從其他相關方獲取非金錢收益 (例如理財研究資料)。

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